

CATEGORY	RISK	DBB		DB	
		Agency	Contr.	Agency	Contr.
<b>Design Issues</b>	Project scope definition	X		X	
	Design criteria	X		X	
	Geotech investigation – Initial borings on preliminary design	X		X	
	Geotech investigation – Initial borings on proposal	X			X
	Plan conformance with regulation/guide/RFP	X			X
<b>Environmental</b>	NEPA/SEPA	X		X	
	Permitting	X		X	
<b>Right of way</b>	Establishing ROW limits	X		X	
	Acquire ROW	X		X	
<b>Local Agency</b>	Identification of initial local agency impacts	X		X	
	Establish final/actual local agency impacts	X			X
	Modifications to existing local agency permits	X			X
<b>Utility</b>	Establish initial utility locations/conditions	X		X	
	Relocation of utilities under agreement during contract		X		X
	Modified agreement with private utility based on final design	X			X
<b>Railroad</b>	Obtain initial RR agreement based on preliminary design	X		X	
	Coordination with RR under agreement		X		X
<b>Public relations</b>	Community relations	X		X	
	Public safety		X		X
<b>Construction</b>	Initial performance requirements	X		X	
	Final construction/materials QC/QA Plan	X			X
	Material quality		X		X
	Construction quality and safety		X		X
<b>Force Majeure</b>	Natural hazard (tornado, earthquake, etc)	X		X	
	Change in law	X		X	
<b>Differing Site Conditions</b>	Changed and differing site conditions	X		X	
<b>Warranty</b>	Long term ownership/ final responsibility	X		X	
	Insurance		X		X

Figure 4.2: Example of Design-Build Project Risk Allocation Matrix